

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: James Kellett et al.

Serial No.: 10/698,760

Examiner: Unknown

Filed: October 30, 2003

Group Art Unit: Unknown

For: EMBOLECTOMY DEVICES

Docket No.: 1001.1694101

**TRANSMITTAL SHEET**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 6th day of January, 2004.

By

Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 (under provision 37 CFR §1.98(a)(2)(i), copies of the documents listed are not being submitted herewith.)
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:

Glenn M. Seager, Reg. No. 36,926  
Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, Minnesota 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



PATENT

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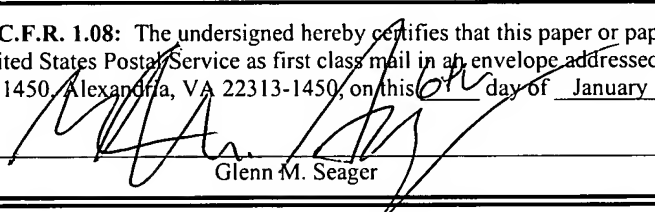
For: EMBOLECTOMY DEVICES

Docket No.: 1001.1694101

Commissioner for Patents  
P.O. Box 1450  
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**CERTIFICATE UNDER 37 C.F.R. 1.08:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 6th day of January, 2004.

By

  
Glenn M. Seager

Dear Sirs:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

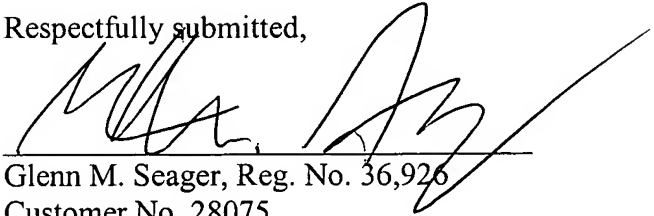
No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Under the provision of 37 CFR §1.98(a)(2)(i), copies of the documents listed on the 1449 Form, are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated: Jan. 6, 2003

Respectfully submitted,



Glenn M. Seager, Reg. No. 36,926

Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800

Minneapolis, Minnesota 55403-2420

Telephone: (612) 677-9050

Facsimile: (612) 359-9349

FORM 1449\*

Docket Number:  
1001.1694101Application Number:  
10/698,760INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION

Use several sheets if necessary)

Applicant: James Kellett et al.

Filing Date: October 30, 2003

Group Art Unit: Unknown

## U.S. Patent Documents

Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	6,620,182 B1	09/16/03	Khosravi et al.		
	6,610,077 B1	08/26/03	Hancock et al.		
	6,544,279 B1	04/08/03	Hopkins et al.		
	6,540,722 B1	04/01/03	Boyle et al.		
	6,468,291 B2	10/22/02	Bates et al.		
	6,425,909 B1	07/30/02	Dieck et al.		
	6,361,546 B1	03/26/02	Khosravi		
	6,361,545 B1	03/26/02	Macoviak et al.		
	2002/0022858 A1	02/21/02	Demond et al.		
	6,346,116 B1	02/12/02	Brooks et al.		
	6,319,268 B1	11/20/01	Ambrisco et al.		
	2001/0016755 A1	08/23/01	Addis		
	6,277,138 B1	08/21/01	Levinson et al.		
	6,245,089 B1	06/12/01	Daniel et al.		
	6,203,561 B1	03/20/01	Ramee et al.		
	6,179,861 B1	01/30/01	Khosravi et al.		
	6,179,859 B1	01/30/01	Bates et al.		
	6,171,328 B1	01/09/01	Addis		
	6,152,947	11/28/00	Ambrisco et al.		
	6,051,015	04/18/00	Maahs		
	6,027,520	02/22/00	Tsugita et al.		
	6,001,118	12/14/99	Daniel et al.		
	5,941,896	08/24/99	Kerr		
	5,846,260	12/08/98	Maahs		

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.